



Canadian Research  
Knowledge Network

Réseau canadien  
de documentation  
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# Transforming Scholarly Communications

## Open Access Approaches at CRKN

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[crkn-rcdr.ca](http://crkn-rcdr.ca)



# Agenda

- CRKN's Open Access Approach
- Licensing Principles
- Stakeholder Engagement and Licensing Communications
- SAGE Transformative Agreement
- Elsevier Renewal
- Preview – 2021 Negotiation Objectives
- Q&A

# CRKN's Open Access Approach



# Strategic Plan 2019-2024

## **Three strategic goals:**

- Transform Scholarly Communications
- Develop and Foster Partnerships
- Collaborative Advocacy


## **And a strategic project:**

A CRKN Approach to Open Scholarship: A Multipronged Strategy to Advancing Access to Knowledge




# Transform Scholarly Communications

- Develop a set of principles that drive the negotiations, approaches, and decisions that underpin CRKN's state-of-the-art licensing program
- Champion different models of open access, in Canada and internationally, that achieve widespread access to knowledge




# A CRKN Approach to Open Scholarship: A Multipronged Strategy to Advancing Access to Knowledge

- Collaborate with members and stakeholders to develop a list of principles to guide CRKN's open scholarship vision;
- Collaborate to create a vision document and key messaging to leverage advocacy opportunities and galvanize stakeholder support;
- Champion advocacy and engagement strategies with researchers, university administrators, funders and other partners;
- Collect and analyze data that supports this transformation and defines the output of scholarship in Canada;
- Create resources and toolkits to support, advance, and enable conversations across and within institutions in Canada.



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# Multiple Pathways to Open Access


- Partnership models for open access publishing
  - Coalition Publica, SCOAP<sup>3</sup>, SCOSS, PLOS
- Green open access (deposit in institutional or disciplinary repositories)
- Transformative agreements - **SAGE**
- Lowering cost of article processing charges (APCs) - **Elsevier**
- Reducing cost of commercial subscription agreements - **Elsevier**

# Updated Licensing Principles

# Updated Licensing Principles

- A two-part document: Licensing Program Principles & Principles for Negotiations
  - The first part defines the ways our members work together to share costs, identify priorities, and increase access to content
  - The second part indicates our expectations from our vendors and publishing partners
- Build upon CRKN's First Principles, which were developed in 2009 to prioritize collaboration, transparency, and consensus-building among CRKN members
- Developed by a working group of the CSC

Read more: <https://www.crkn-rcdr.ca/en/crkn-licensing-principles>



“A significant cost reduction of the Elsevier ScienceDirect license frees up collection budgets to make **meaningful reinvestments in open access initiatives and infrastructure**. As stated in our licensing principles, we can recognize the value publishers bring to the scholarly communication system while also unequivocally rejecting unsustainable price increases, open access restrictions, and other practices that limit access to research or benefit a minority in the research ecosystem.”

- Dr. Guylaine Beaudry, University Librarian, Concordia University and Chair of the Content Strategy Committee.

# Stakeholder Engagement & Licensing Communications

Building relationships to support licensing activities



# Stakeholder Alignment Group

- **Alejandro Adem** - President, NSERC
- **Christine Chambers** - Canada Research Chair in Children's Pain and a Killam Professor in the departments of Psychology & Neuroscience and Pediatrics and Scientific Director of the CIHR Institute of Human Development, Child and Youth Health, Dalhousie University
- **Constance Crompton** - Canada Research Chair in Digital Humanities and Assistant Professor in the Department of Communication, University of Ottawa
- **Sophie D'Amours** - Rector, Université Laval
- **Paul Davidson** - President, Universities Canada
- **Meric Gertler** - President, University of Toronto
- **Ted Hewitt** - President, SSHRC
- **Mona Nemer** - Chief Science Advisor, Government of Canada
- **Roseann O'Reilly Runte** - President and CEO, Canada Foundation for Innovation (CFI)
- **Gilles Patry** - Executive Director, U15
- **Rémi Quirion** - Chief Science Advisor, Québec, Fonds de recherche du Québec
- **Guy A. Rouleau** - Director, Montreal Neurological Institute and Hospital
- **Alan Shepard** - President and Vice-Chancellor, Western University

# Licensing Communications

- Licensing section on CRKN website: <https://www.crkn-rcdr.ca/en/crkn-elsevier-license-renewal>
- CRKN Announces Updated Licensing Principles: <https://www.crkn-rcdr.ca/en/crkn-announces-updated-licensing-principles>
- CRKN Announces Transformative Agreement with SAGE: <https://www.crkn-rcdr.ca/en/canadian-research-knowledge-network-announces-transformative-agreement-sage>
- CRKN Meets Bold Negotiation Objectives in Elsevier Renewal: <https://www.crkn-rcdr.ca/en/crkn-meets-bold-negotiation-objectives-elsevier-renewal>
- CRKN Signs Open Access 2020 Expression of Interest: <https://www.crkn-rcdr.ca/en/crkn-signs-open-access-2020-expression-interest>

The left side of the slide features a vertical decorative border consisting of several parallel white lines. These lines are interspersed with small white circles and elongated, rounded rectangular shapes, creating a modern, geometric pattern.

# Licensing

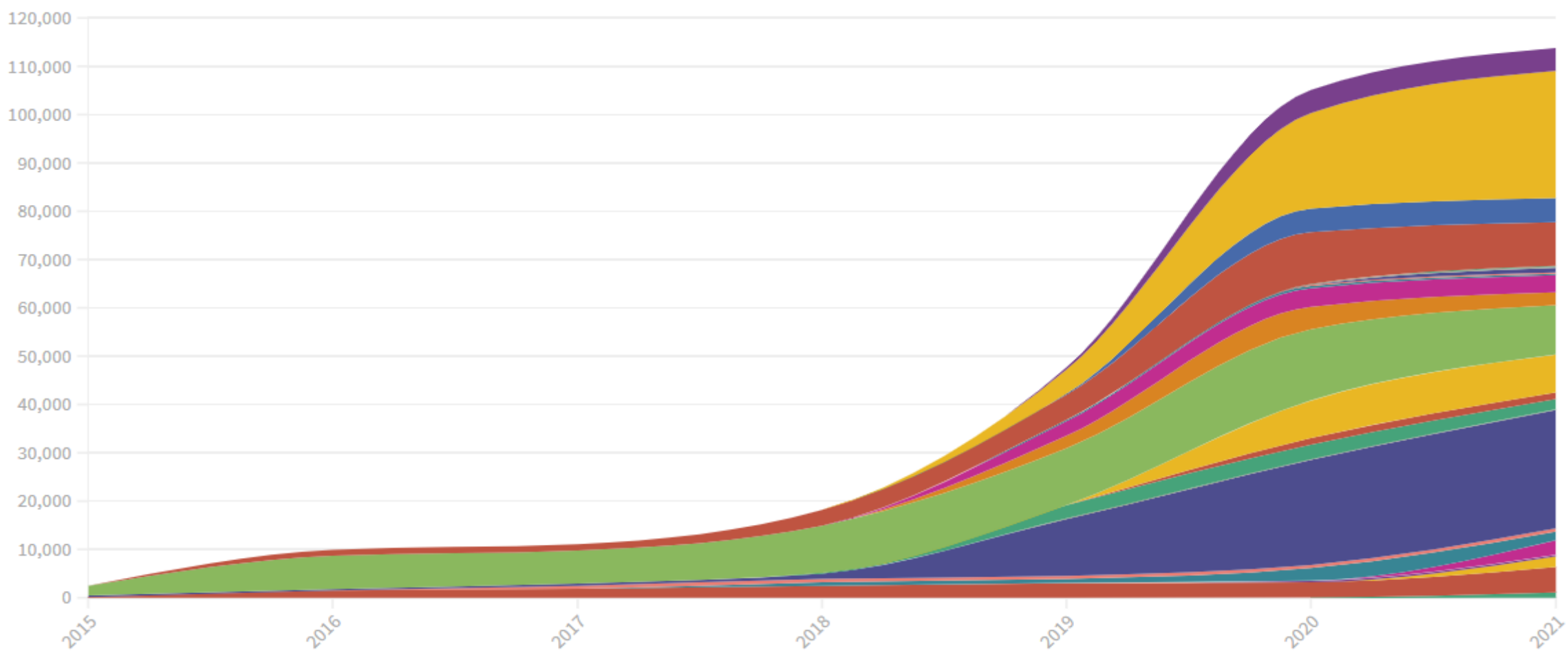


# Transformative Agreements

- “Transformative agreement” is an umbrella term describing those agreements negotiated between institutions (libraries, national and regional consortia) and publishers in which former **subscription expenditures are repurposed to support open access publishing**, thus transforming the business model underlying scholarly journal publishing, gradually and definitively shifting from one based on toll access (subscription) to one in which publishers are remunerated a fair price for their open access publishing services.
  - -ESAC Registry (<https://esac-initiative.org/about/transformative-agreements/>)

# Which countries are negotiating transformative agreements?

- Australia
- Austria
- Belgium
- Canada
- China
- Croatia
- Czech Republic
- Denmark
- Finland
- France
- Germany
- Greece
- Hungary
- Ireland
- Israel
- Italy
- Japan
- Netherlands
- Norway
- Poland
- Qatar
- Slovenia
- South Korea
- Spain
- South Africa
- Sweden
- Switzerland
- United Kingdom
- United States



Source: [ESAC Transformative Agreement Registry](#) • Click on a country to filter.  
 Last updated: 07-04-2021

# Where Canadian researchers are publishing:

Top 20 publisher based on corresponding author share

Filter:

Canada



Source: <https://github.com/subugoe/oa2020cadata/> • Click to view Fully OA and Hybrid/Closed journal shares, and the coverage of TAs. Hover to see lower values.  
Last updated: 07-04-2021

# Canada's publication output at a glance

Filter:

Canada



Source: <https://github.com/subugoe/oa2020cadata/>, ESAC Transformative Agreement Registry

Last updated: 07-04-2021

# Criteria for CRKN when considering TAs

- Subscription cost
- Publication output
- For-profit vs. non-profit publisher
- Transformative Agreements successfully negotiated by other consortia

# CRKN-SAGE Agreement

- Legacy SAGE License
  - Subscription agreement in place 2007-2020
  - 40% APC discount negotiated for 2018-2020 (both hybrid and gold)
  - Subscription cost in 2020: US\$ 5.3 Million
- Transformative agreement in place for 2021-2023
  - APCs waived for all hybrid journal articles published by CRKN-affiliated corresponding authors
  - 40% APC discount remains for Gold OA journals
  - Cost in 2021: US\$ 5.5 Million (1 large institution joined license, accounting for increase in cost)
  - 3% increase in 2022 and 2023

# Elsevier ScienceDirect

- Significant reduction in license costs (>10%) without loss of access to content
- Increase open access (APC discount)
- Remove confidentiality/non-disclosure

# Final Results

- -12.5% for 2021; 0% for 2022; +2% for 2023
- 20% APC Discount for hybrid and gold journals (some exclusions, e.g. Cell and Lancet)
- Contract is publicly shared; no confidentiality clause



# Mechanics

- Legacy CRKN-Elsevier ScienceDirect license has 3 components:
  - Subscribed Titles (perpetual rights) - \$25,286,776 US
  - Freedom Collection (no perpetual rights) - \$4,336,453 US
  - Academic Press Collection (perpetual rights) - \$5,062,577 US
- To achieve the 12.5% reduction, the FC and AP fees were combined to create a new FC fee, and a reduction applied that equaled 12.5% of each library's total SD spend (\$4,348,537 US in total reductions)
- Total cumulative cost savings over three years (compared with a status quo renewal at 2% per year): US\$17.4 million
- Perpetual rights maintained for AP titles; read access maintained via FC

# Elsevier APC Discount

- Validation process to approve article eligibility / author affiliation
- Process to be automated by Elsevier by mid-2022
- CRKN is managing this process on behalf of members in the interim
- Articles submitted on January 1, 2021 and after are eligible (retroactive)
- Upon acceptance and publication, the article is added to Elsevier's Open Access Platform for validation by CRKN

# Looking to the future

- Targeting 2 new Transformative Agreements for 2022
  - Canadian Science Publishing
  - Cambridge University Press
- Continue to target cost reduction in licenses with large commercial publishers and contain costs with the rest
- Continue to support other pathways to open access



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# Questions?

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